

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 15, 2006

Signature: 

Joseph A. Williams, Jr.

Docket No.: 30572/41384  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ingrid Kloting et al.

Application No.: 10/539,535

Confirmation No.: 6750

Filed: June 17, 2005

Art Unit: Not Yet Assigned

For: Use of Multifunctional Transcription Factor Yin-  
Yang-1 and Variants Thereof for Treating  
Illnesses, Especially Type 1 Diabetes

Examiner: Not Yet Assigned

**ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. §1.27(C) AND  
REQUEST FOR REFUND UNDER §1.28**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby asserts, in accordance with 37 C.F.R. §1.28(c), that small entity status is entitled to be asserted in this application. Small entity status should therefore be established in the application and applicant should receive a refund of \$500.00 for fees paid as large entity status.

Specifically the application fee should have been \$500.00. This refund request is made in accordance with 37 C.F.R. §1.28(a) and (b).

The Commissioner is hereby authorized to credit the refund to our Deposit Account No. 13-2855, under Order No. 30572/41384. A duplicate copy of this paper is enclosed.

Dated: June 15, 2006

Respectfully submitted,

By 

Joseph A. Williams, Jr.

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